

## Everything Compliance - Episode 54 – The Not Headed to Doral Edition

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<https://youtu.be/7ISsbDETGds>

Welcome to the only roundtable podcast in compliance. Today, we have a quintet of Jay Rosen, Matt Kelly, Sarah Hadden, Jonathan Armstrong and Mike Volkov with a potpourri of topics and commentary on current events from the compliance perspective. Rants and shouts outs follow the commentary for this episode, with one public service announcement from across the pond.

- Mike Volkov takes a deep dive into the debate on whether a Chief Compliance Officer should report to the GC or not. Volkov shouts out to Harvard Law Professor Matthew Stephenson for his great blog site Global Anti-Corruption Blog and specifically his recent blog post, [If You Don't Think Conflicts of Interest Matter, Consider the Kurds](#).
- Jay Rosen discusses the role ethics and compliance in the Mergers and Acquisition process. Rosen shouts out General James Mattis' and his remarks at the Alfred Smith Dinner where accepted Trump's claim he was the 'most-overrated general' by noting Trump had said 3-time Oscar winner Meryl Streep was the 'most-overrated actress.'
- Sarah Hadden takes things a different direction by reading the eBook *Trump and Compliance* which was published in late 2016 and was based on the Everything Compliance gang's predictions of how compliance would fare under the Trump Administration. Hadden shouts out to a new section of CCI which will focus on those persons early in their compliance careers. It is certainly a welcome addition to the compliance discussion.
- Matt Kelly provides breaking news by discussing the SEC proposed changes to its Whistleblower Program. Kelly shouts out to Boston Celtic Enes Kantor for calling out the NBA on its hypocrisy on China.
- Jonathan Armstrong discusses the growing tide of US-style class actions coming to the UK and EU around the issue of data breaches under GDPR. Armstrong provides a public service announcement around the perils of using Apple Pay and the failure to Document, Document, Document.
- Tom Fox rants about the surreal news conference given by Acting Chief of Staff Mick Mulvaney where he (1) admitted the President violated US law in requiring a *quid pro quo* from Ukraine for the Congressionally mandated US aid package, claiming it was 'just politics' and (2) admitted the President violated the Emoluments Clause of the US Constitution by announcing the President had ordered the 2020 G-7 Summit to be held at Trump properties.

### Resources:

From **Jonathan Armstrong**, of [Cordery Compliance](#):

- [Life with GDPR – Episode 22 – Morrisons And Vicarious Liability](#)
- [UK Data Protection Regulator Announces Intention to Fine BA after Data Breach](#)
- [Client Alert: Doors open for data protection class action as appeal court allows Google claim to proceed?](#)

From **Matt Kelly** on [Radical Compliance](#):

- [SEC Tees up Whistleblower Reforms](#)

From **Mike Volkov's** remarks, two articles from [Compliance Week](#) (*sub req'd*)

- [Point: Why CCOs should report to GC](#) by Jeff Kaplan

- [Counterpoint: CCO, GC independence is critical](#) by Matt Stankiewicz

From **Sarah Hadden**, the eBook published by CCI:

- [Trump and Compliance-the Conversation is Just Getting Started](#)

The members of the Everything Compliance are:

- **Jay Rosen**– Jay is Vice President, Business Development Corporate Monitoring at Affiliated Monitors. Rosen can be reached at [JRosen@affiliatedmonitors.com](mailto:JRosen@affiliatedmonitors.com)
- **Mike Volkov** – One of the top FCPA commentators and practitioners around and the Chief Executive Officer of The Volkov Law Group, LLC. Volkov can be reached at [mvolkov@volkovlawgroup.com](mailto:mvolkov@volkovlawgroup.com).
- **Matt Kelly** – Founder and CEO of Radical Compliance. Kelly can be reached at [mkelly@radicalcompliance.com](mailto:mkelly@radicalcompliance.com)
- **Jonathan Armstrong** –is our UK colleague, who is an experienced data privacy/data protection lawyer with Cordery in London. Armstrong can be reached at [armstrong@corderycompliance.com](mailto:armstrong@corderycompliance.com)
- **Sarah Hadden** –Publisher at Corporate Compliance Insights. Hadden can be reached at [Sarah@corporatecomplianceinsights.com](mailto:Sarah@corporatecomplianceinsights.com)
- The host and producer (and sometime panelist) of Everything Compliance is **Tom Fox** the *Compliance Evangelist*. Everything Compliance is a part of the [Compliance Podcast Network](#).

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